### Media In Transition

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# Rethinking Media Change

The Aesthetics of Transition

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The MIT Press Cambridge, Massachusetts London, England

# Introduction: Toward an Aesthetics of Transition

David Thorburn and Henry Jenkins

#### Against Apocalypse

A change has taken place in the human mind.... The conviction is already not very far from being universal, that the times are pregnant with change; and that [our era]... will be known to posterity as the era of one of the greatest revolutions... in the human mind, and in the whole constitution of human society... The first of the leading peculiarities of the present age is, that it is an age of transition.

—John Stuart Mill, "The Spirit of the Age" (1831)<sup>1</sup>

Set aside the nineteenth-century tonalities, and this passage could belong to our own era. Its apocalyptic rhetoric and its self-conscious awareness of change closely mirror the discourse of the so-called digital revolution. Mill is responding to the vast transformations that define the nascent Victorian age—the introduction of the railroads, the emergence of powerful new manufacturing technologies, fundamental alterations in the economic and political order of English society, the expansion of a global empire. The advent of the computer also has generated visions of apocalyptic transformation. In one recurring scenario, we stand on the cusp of a technological utopia where emerging communications systems foster participatory democracy and give all citizens access to an infinite range of commercial services, audio-visual texts, job training, libraries, and universities. The reverse of such optimism envisions an on-line culture of chaos, instability and greed in which pornographic images corrupt children and challenge parental authority; information is commodified and available only to those who can pay; political discourse is balkanized by extremist special interests; and human experience itself is "denatured" or displaced by the virtual reality of the computer screen.<sup>3</sup>

Similar utopian and dystopian visions were a notable feature of earlier moments of cultural and technological transition—the advent of the printing press, the development of still photography, the mass media of the nineteenth century, the telegraph, the

telephone, the motion picture, broadcast television. In these and other instances of media in transition, the actual relations between emerging technologies and their ancestor systems proved to be more complex, often more congenial, and always less suddenly disruptive than was dreamt of in the apocalyptic philosophies that heralded their appearance. Across a range of examples, including the introduction of the compass in the middle ages, the telegraph, radio, satellite television, software, and digital music, Debora L. Spar argues that technological change follows a cycle of innovation and experimentation, commercialization and diffusion, creative anarchy and institutionalization. During each phase, discourses proclaiming radical change may locate stress points where emerging forms of wealth and power appear to threaten established institutions.

In our current moment of conceptual uncertainty and technological transition, there is an urgent need for a pragmatic, historically informed perspective that maps a sensible middle ground between the euphoria and the panic surrounding new media, a perspective that aims to understand the place of economic, political, legal, social and cultural institutions in mediating and partly shaping technological change. The essays in this book represent an effort to achieve such an understanding of emerging communication technologies. At once skeptical and moderate, they conceive media change as an accretive, gradual process, challenging the idea that new technologies displace older systems with decisive suddenness.

Some contemporary doomsayers warn that the digital revolution signals the death of the book and the end of cinema. In such simplified models of media in transition, the new system essentially obliterates its predecessors, taking on the functions of its ancestors, and consigning the older form to the museum and the ash heap. The science fiction writer Bruce Sterling, for example, has established a website devoted to "dead media," old technologies that have outlived their usefulness. But this seems a narrowly technical idea of media. Specific delivery technologies (the eight-track cassette, say, or the wax cylinder) may become moribund, but the medium of recorded sound survives. As many studies of older and recent periods attest, the emergence of new media sets in motion a complicated, unpredictable process in which established and infant systems may co-exist for an extended period or in which older media may develop new functions and find new audiences as the emerging technology begins to occupy the cultural space of its ancestors. Thus, traditional oral forms and practices outlast the advent of writing and even the culture of print; the illuminated manuscript survives for a time into the Gutenberg era; the atter and the novel co-exist with movies and television; radio reinvents itself after TV

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displaces its entertainment and news-reporting role in the national culture. Moreover, in many cases apparently competing media may strengthen or reinforce one another, as books inspire movies which in turn stimulate renewed book sales, as television serves as a virtual museum for the history of film, as newspapers, television and movies today are discovering a variety of strategies for extending and redefining themselves on the World Wide Web.

As these instances suggest, to focus exclusively on competition or tension between media systems may impair our recognition of significant hybrid or collaborative forms that often emerge during times of media transition. For example, the Bayeux tapestry (c. 1067–1077) combined both text and images, and was explicated in spoken sermons—a multimedia bridge between the oral culture of the peasants and the learned culture of the monasteries. Or consider the nineteenth-century practice of the painted photograph, an aberrant oddity to recent generations who take for granted the representational accuracy of mechanical reproduction in relation to images drawn by hand. In its day, though, the painted photograph—correcting photography's monochromy and its tendency to fade over time—was understood within the centuries-old tradition of portrait painting. As a final example, contemporary experiments in story-telling are crossing and combining several media, exploiting computer games or web-based environments that offer immersive and interactive experiences that mobilize our familiarity with traditional narrative genres drawn from books, movies and television.

Current discussion about media convergence often implies a singular process with a fixed end point: All media will converge; the problem is simply to predict which media conglomerate or which specific delivery system will emerge triumphant. <sup>12</sup> But if we understand media convergence as a process instead of a static termination, then we can recognize that such convergences occur regularly in the history of communications and that they are especially likely to occur when an emerging technology has temporarily destabilized the relations among existing media. On this view, convergence can be understood as a way to bridge or join old and new technologies, formats and audiences. Such cross-media joinings and borrowings may feel disruptive if we assume that each medium has a defined range of characteristics or predetermined mission. Medium-specific approaches risk simplifying technological change to a zerosum game in which one medium gains at the expense of its rivals. A less reductive, comparative approach would recognize the complex synergies that always prevail among media systems; particularly during periods shaped by the birth of a new medium of expression.

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#### Self-conscious Media

As our contemporary experience demonstrates, a crucial, distinguishing feature of periods of media change is an acute self-consciousness. McLuhan argues that "media are often put out before they are thought out." 13 Yet the introduction of a new technology always seems to provoke thoughtfulness, reflection, and self-examination in the culture seeking to absorb it. Sometimes this self-awareness takes the form of a reassessment of established media forms, whose basic elements may now achieve a new visibility, may become a source of historical research and renewed theoretical speculation. What is felt to be endangered and precarious becomes more visible and more highly valued. In our time the most decisive instance of this process is the multi-national scholarship devoted to the history of the book. As William Mitchell's suggestive essay in this volume implies, the promise or threat of electronic books engenders a renewed consciousness of the rare and durable qualities of printed books, not least of which is their portability and their stability across time. Compared to the short life of various electronic and digital systems, including the operating systems of computers, the printed book is a "platform" reassuringly stable and secure.

Moreover, a deep and even consuming self-consciousness is often a central aspect of emerging media themselves. Aware of their novelty, they engage in a process of self-discovery that seeks to define and foreground the apparently unique attributes that distinguish them from existing media forms.

Consider this definitive instance of the profound self-reflexiveness of which a new medium is capable. In the third chapter of Part II of Don Quixote (Part I, 1605; Part II, 1615) the hero consults with his squire Sancho Panza and the learned scholar Sampson Carrasco, who is to report on the mysterious publication of Part I of the novel. This volume has appeared as if "by magic art," and much to Quixote's discomfort, even while

the blood of the enemies he had slain was scarcely dry on his own sword-blade. . . . [Ilf it were true that there was such a history, since it was about a knight errant it must perforce be grandiloquent, lofty, remarkable, magnificent and true. With this he was somewhat consoled; but it disturbed him to think that its author was a Moor, as that name of Cide suggested. For he could hope for no truth of the Moors, since they are all cheats, forgers, and schemers. He was afraid too that his love affairs might have been treated with indelicacy. (J. M. Cohen translation; Penguin Books, 1954)

The complex unease suggested here—Quixote's doubts about his chivalric enterprise encouraging the defensive suspicion that Moors are untrustworthy—continues

through the whole of this chapter and the next, and makes a comic and aesthetically complex contrast with Sancho's confident, even aggressive loquaciousness. Repeatedly interrupting his learned betters, Sancho directs our attention toward those elements of their past adventures that are least Quixotic and most congruent with the pragmatic earthiness of his sense of the world. Some readers, Carrasco says, "would have been glad if the authors had left out a few of the countless beatings" endured by Quixote and his squire. The hero agrees, of course, but his squire does not. "That's where the truth of the story comes in," Sancho insists. This wonderful mixture of comic energy and philosophic/aesthetic argument is further enriched when Carrasco inquires into an apparent inconsistency in the history. "I don't know how to answer that," said Sancho. "All I can say is that perhaps the history-writer was wrong, or it may have been an error of the printer's."

This characteristic moment is, of course, a disconcertingly bold way of reminding us that the book we are reading is a physical object, a commodity produced and perhaps altered by technicians who know nothing of Dulcinea and probably do not care to know. It is appropriate, even deeply significant that Quixote actually visits a printing plant late in Part II, where he studies the physical processes by which books are made and where he discourses on the difficulties of translation, finally seeing a proofreader at work on the spurious second part of the Quixote itself.

This insistence on the limits of the book we hold in our hands, and especially Cervantes' recurring tactic of allowing the novel's several narrators to intrude into Quixote's story and to interrupt it, deflects attention toward what has been called the drama of the telling, a drama concerned not with the protagonist's adventures themselves but with the problems and difficulties of writing about them. The Quixote is, among other things, then, a book about the making of books and the nature of story telling. Its daring self-reflexive comedy is also a systematic exploration of the special properties of the infant medium of the novel. <sup>15</sup>

As the example of *Don Quixote* implies, often the most powerful explorations of the features of a new medium occur in comedy. <sup>16</sup> Many forms of self-reflexiveness are inherently skeptical, self-mocking, hostile to pretension. The early television comedian Ernie Kovacs regularly toyed with audience's expectations about the visual bias of television, creating anarchic comedy in absurd synchronizations of classical music with mundane activities (such as cracking open eggs to the 1812 Overume). In one segment, Kovacs places a portable radio in front of the camera while the radio announcer's voice describes a woman in a revealing bathing suit. Here as elsewhere Kovacs seems to wink at the audience, as if to suggest that there are some things best enjoyed on television. In another skit, Kovacs makes the sound-track visible on screen, exploring the possibility that even audio may have an arresting

visual component. Kovacs assumes that his viewers actively watch television, fixated on the novelty of the image, in contrast to some more recent television producers who have assumed that spectators divide their attention between television and other household tasks.

Early movies, of course, are another immensely fertile space for experimentation. Many early movies, of course, are another immensely fertile space for experimentation. Many early motion picture exhibitors, for example, used the camera and projection technology to dramatize the shift from still to moving pictures—either opening with a still image before setting it into motion or projecting footage backwards to reverse the sequence of action we've just seen, so that a wall that had been destroyed as we watched is now magically rebuilt before our eyes. As Tom Gunning has written, early cinema "directly solicits spectator attention, inciting visual curiosity, and supplying pleasure through exciting spectacle." This cinema emphasized its "visibility," often calling attention to its grand illusion by toying with the possibility of transgressing the boundary between the audience and the world projected on the screen. A similar degree of self-consciousness emerged in the early sound era. Al Jolson's proclamation in *The Jazz Singer*—"You ain't heard nothing yet!"—dramatically emphasizes the spoken word, but not nearly so powerfully as the sudden shift back to the conventions of silent cinema when his father, the cantor, appears and demands "Silence!" 18

ber of panels in a fixed relationship to each other, artists ceased to explore the complex could be slotted into any newspaper page. Since the comic strip had to have a preset numtributed by national syndicates, rigid formulas were imposed to insure that the panels of mice beneath the floorboards of the depicted space. When comic strips came to be dis-One of Herriman's early strips included a second row of panels depicting the experiences ated images that burst free of the confines of the frame, releasing havoc across the page George Herriman, drew characters who interacted with the panels below them and crewould read picture books that in turn contained panels. Another early comic artist and even created frames within a frame, such that characters inside the comic panel surrealistic images of topsy-turvy worlds and of figures stretched imaginatively out of was its first decades, a period in which artists controlled the layout of their own pages. gued, for example, that the most creative era in the history of the American comic strip ventions and routines imposed when production methods are established. It is widely aras pioneering artists enjoy a freedom to experiment that may be constrained by the conformal properties of this popular medium. 19 proportion. These strips also manipulated the shape and proportion of the frame itself Winsor McCay's Dreams of the Rarebit Fiend and Little Nemo in Slumberland contained bold In some instances the earliest phase of a medium's life may be its most artistically rich

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## Imitation, Discovery, Remediation

If emerging media are often experimental and self-reflexive, they are also inevitably and centrally imitative, rooted in the past, in the practices, formats and deep assumptions of their predecessors. The first printed book, the Gutenberg Bible (c. 1455), contains a stunning emblem of this unvarying law of media evolution. For in what seems today a perverse failure to exploit the defining feature of print as against scribal texts, Gutenberg's landmark book has been elaborately and painstakingly illustrated by hand-artisans in the established style of the medieval illuminated manuscript. The striking if perverse continuity thus created was dramatized in a recent exhibition by the Huntington Library, which juxtaposed a copy of the Gutenberg, open to a richly illuminated page, with the famous Ellessinere manuscript of Chaucer's Canterbury Tales (c. 1400), also beautifully illustrated by a scribal artist (see fig. 1.1). The print revolution—the power to reproduce a large number of identical texts—is latent but invisible here, suppressed or ignored by an impulse of continuity, a need to experience this new medium under the aspect of established ways of reverence and of art. <sup>20</sup>

Such holdowers of old practices and assumptions have shaped the introduction of many new technologies and may be best illustrated by examples from outside the realm of media history. The physical design of early automobiles, as many have noted, embodied a version of the same continuity principle. Why do the first cars look like horse-drawn buggies, many of them preserving for as long as twenty years such nostalgic and nonfunctional features as dashboard whip sockets? Nothing in the technology of the internal combustion engine requires these forms of obeisance to older models of transportation. But, of course, invention itself is shaped and constrained by history, by inherited forms of thought and experience.

Yet another instructive version of the power of traditional practices to channel our understanding and use of new technologies is available in Harold L. Platt's *The Electric City*, an account of the emergence of the electric utility industry in Chicago at the turn of the twentieth century. <sup>21</sup> The key transition here is not technological so much as cognitive or psychological, for according to this fascinating history, a sustained campaign of political lobbying and consumer marketing was needed to persuade home-owners and businesses to abandon their individual power-generating systems and purchase their energy from a central station or power plant. The idea that energy supplies could be "outsourced" more efficiently and economically than self-generation ran counter to centuries of practice in which homes, farms, mills, businesses and factories maintained their own systems of

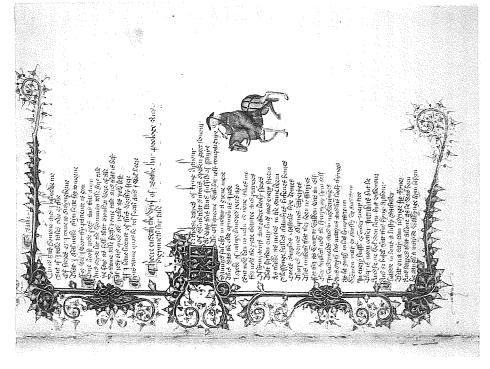
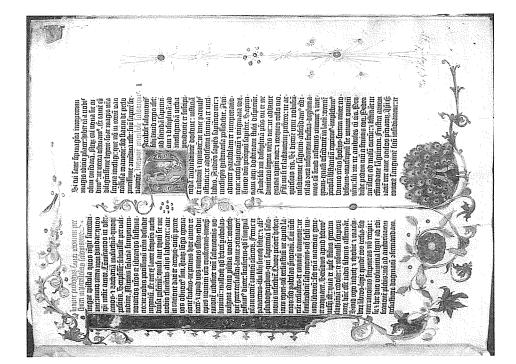


Figure 1.1 A page from the Ellcsmere manuscript of Chaucer's Canterbury Tales (above) and a page from the first printed book, the Gutenberg Bible, demonstrate the power of continuity and tradition in media history. After being printed, the Bible was illustrated by hand so as to resemble its ancestral medium, the illuminated manuscript. Reprinted with permission of the Huntington Library, San Marino, California.



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energy production. Does that history of an earlier turning from reliance on privately owned, home-based systems to centralized power-nodes anticipate contemporary shifts, already discernible in much corporate computer use and among many individual web surfers, from autonomous desk-top computing to forms of data-sharing and outsourcing?

These examples—Gutenberg, the horseless buggy, the electric city—and many others we've not mentioned illustrate how inherited forms and traditions limit and inhibit, at least at the start, a full understanding of the intrinsic or unique potential of emerging technologies. But this continuity principle must not be conceived as merely or essentially an impediment to the development of new media. In Jay David Bolter and Richard Grusin's influential formulation, all media engage in a complex and ongoing process of "remediation," in which the tactics, styles and content of rival media are rehearsed, displayed, mimicked, extended, critiqued. We should be clear that not all forms of self-consciousness are profound—some are simply trivial novelties, and not all forms of continuity are constraining—some may quicken latent possibilities in the emerging medium, while others, more simply, may aim to help confused or disoriented consumers make the transition into the unfamiliar terrain opened by the new medium. Self-reflexivity and imitation are contrasting aspects of the same process by which the new medium maps its emergent properties and defines a space for itself in relation to its ancestors.

The novel, for example, is born as an amalgam of older forms, which it explicitly invokes and imitates—the romance, the picaresque tale, certain forms of religious narrative such as Puritan autobiography, and various forms of journalism and historical writing. At first it combines these elements haphazardly and crudely. Then, nourished by an enlarging audience that makes novel writing profitable, this central story-form of the age of print begins to distinguish itself clearly from its ancestors, to combine its inherited elements more harmoniously, and to exploit the possibilities for narrative that are uniquely available in the medium of print. <sup>23</sup>

As many have argued, something of the same principle can be seen in the history of the movies, which begin in a borrowing and restaging of styles, formats and performances taken from a range of older media such as theater, still photography, visual art, and prose fiction. A second powerful source for early cinema was such public attractions as carnivals, the circus, amusement parks, vaudeville. Some film historians have argued that the defining attribute of the birth of the movies was the contention between a self-reflexive and populist "cinema of attractions," (to use Tom Gunning's helpful term) and a more respectable, even middle-class tendency toward narrative as inspired by theater and print. <sup>24</sup>

Such perspectives remind us that the forms achieved by a "mature" medium do not comprise some perfect fulfillment of its intrinsic potential but represent instead both a range of limited possibilities and promises unexplored, roads not taken.

Recent scholarship has even suggested that the movies assumed and more fully achieved some of the prime ambitions of its ancestors. The time of the birth of a new medium, these histories remind us, is often ripe with anticipation. Vanessa R. Schwartz, for example, suggests that fin de siècle Paris was awash in visual spectacles such as panoramas and wax museums offering an immersive reproduction of the world that would be realized truly only by the movies. <sup>25</sup> Lauren Rabinovitz has studied how the cinema took shape in the context of amusement-park attractions. <sup>26</sup> Erik Barnouw has shown how magicians prepared the ground for the movies by introducing its technical marvels to the public. <sup>27</sup> And in some cases, these expectations were frustrated by the new medium: William Uricchio suggests, for example, that some early critics were disappointed when cinema failed to realize their expectation of simultaneous transmission of distance events. <sup>28</sup> The story is not merely one of imitation and self-discovery, then, but something more complicated. If movies were in some sense replicating earlier media, those ancestor systems were also aiming imperfectly and incompletely to satisfy expectations that would ultimately give rise to the cinema.

As we suggested earlier and as these examples indicate, medium-specific perspectives may limit our understanding of the ways in which media interact, shift and collude with one another. The evolution of new communications systems is always immensely complicated by the rivalry of competing media and by the economic structures that shape and support them. In some cases, such as broadcasting where the same networks dominated both radio and television, existing institutions simply expand to absorb and appropriate emerging technologies.<sup>29</sup> In other cases, as in the competition between nickelodeons and legitimate theaters, emerging media may offer opportunities for investment and upward mobility prohibited by the rigid infrastructure of established systems.

To comprehend the aesthetics of transition, we must resist notions of media purity, recognizing that each medium is touched by and in turn touches its neighbors and rivals. And we must also reject static definitions of media, resisting the idea that a communications system may adhere to a definitive form once the initial process of experimentation and innovation yields to institutionalization and standardization. In fact, as the history of cinema shows, decisive changes follow upon improvements in technology (such as the advent of sound, the development of lighter, more mobile cameras and more sensitive film

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stock, the introduction of digital special effects and editing systems); and seismic shifts in the very nature of film, in its relation to its audience and its society, occur with the birth of television.

## No Elegies for Gutenberg<sup>30</sup>

As the foregoing implies, these processes of imitation, self-discovery, remediation and transformation are recurring and inevitable, part of the way in which cultures define and renew themselves. Old media rarely die; their original functions are adapted and absorbed by newer media, and they themselves may mutate into new cultural niches and new purposes. The process of media transition is always a mix of tradition and innovation, always declaring for evolution, not revolution.

We citizens and scholars do well to recognize such continuity principles and to remain skeptical of apocalyptic projections of gloom or glory. Is the printed book obsolete? Almost certainly not. Will many of its noblest and most valuable functions—most forms of scholarship and research, dictionaries, encyclopedias—migrate to the computer? Yes, absolutely. This has already begun to happen. But—as the poet Milton says—nothing is here for tears. The crucial continuity involves not books but language itself. Language is migratory across communications media and will endure.

#### Votes

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